



PATENT
ST999037
0055.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
M.A. Cesare et al.)
Serial No.: 09/399,694)
Filed: September 21, 1999)
For: METHOD, SYSTEM, PROGRAM,)
AND DATA STRUCTURE FOR)
CLEANING A DATABASE TABLE)
)
)

Examiner: Nguyen, Tam V.

Art Unit: 2172

RECEIVED

JAN 02 2004

Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

12/22/03 15:33:31 00000062 090460 09399694

12/22/03 15:33:31 00000062 090460 09399694

- B. ☐ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits; or
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

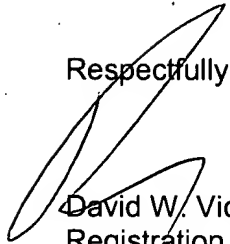
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was

cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

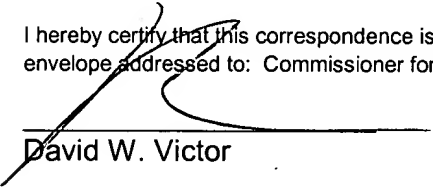
If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



David W. Victor
Registration No. 39,867
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212
(310) 556-7983
(310) 556-7984 (fax)

Dated: December 16, 2003



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on December 16, 2003.

David W. Victor

12/16/03
(Date)

DEC 22 2003

#18p2172
01-05-04PTO/SB/17
0055.0009**FEE TRANSMITTAL**

for FY 2002

DEC 22 2003

PATENT & TRADEMARK OFFICE

Application Number ()

09/399,694

Filing Date

September 21, 1999

Inventor

M.A. Cesare et al.

Group Art Unit

2172

Examiner Name

Nguyen, Tam V.

JAN 02 2004

Total Amount of Payment: **\$180.00**

Attorney Docket Number

ST999037

Technology Center 2100

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METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge the indicated fees and/or credit any overpayments to Deposit Account Number: 09-0460

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☒ Charge any deficiency or credit any overpayment

2. ☐ Payment enclosed:

☐ Check; _____ Check No.

☐ Money Order

☐ Credit Card Approval for _____

FEE CALCULATION

1. BASIC FILING FEE

Utility Filing Fee:

Large Entity Fee Code 101

\$770.00

Fee Submitted:

\$ _____

2. EXTRA CLAIMS FEES

Total Claims ____ - 20* x \$18=

\$ _____

Independent

Claims ____ - 3* x \$86=

\$ _____

Multiple Dependent \$290

\$ _____

Subtotal

\$ _____

*(or number previously paid for)

FEE CALCULATION (continued)

3. ADDITIONAL FEES (large entity)

☐ Surcharge- late filing fee or oath \$130

☐ Surcharge- late provisional filing fee or cover sheet \$50

☐ Non-English specification \$130

☐ International type search report \$40

☐ Requesting publication of SIR prior to action \$920

☐ Requesting publication of SIR after action \$1840

☐ Extension for reply- first month \$110

☐ Extension for reply- second month \$420

☐ Extension for reply- third month \$950

☐ Extension for reply- fourth month \$1480

☐ Extension for reply- fifth month \$2010

☐ Notice of Appeal \$330

☐ Brief in Support of Appeal \$330

☐ Request for Oral Hearing \$290

☐ Utility issue fee \$1330

☐ Petition to revive (unavoidable) \$110

☐ Petition to revive (unintentional) \$1330

☐ Petitions to the Commissioner \$130

☐ Petitions related to provisional applications \$50

☒ Submission of Supplemental Information

Disclosure Statement \$180

☐ Recordation of Assignment \$40

☐ Submission after final \$770

(37 CFR 1.129(a))

☐ Request for Continued Examination \$770

(RCE)

☐ Other:

SUBTOTAL

\$ 180.00

Submitted by:

Firm or Individual Name:

David W. Victor; Registration No. 39,867

Signature:

Date: December 16, 2003

Telephone: (310) 553-7977



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PATENT TRADEMARK OFFICE